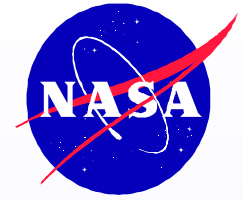


Towards Formal Verification of Autonomous Systems

Charles Pecheur

RIACS / ASE Group, NASA Ames

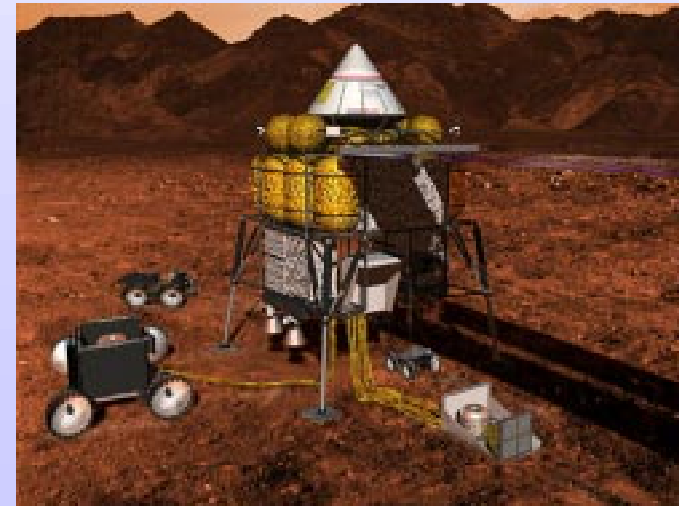
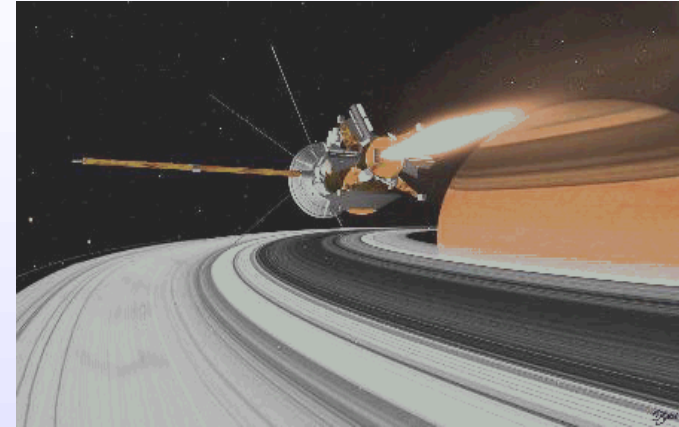
Autonomous Systems



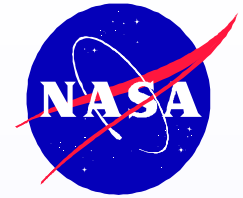
Autonomous space explorers

"Faster, better, cheaper"

- Reduced human supervision
=> reduced cost
- Local reactions
=> no com delays/blackouts
- From self-diagnosis
to on-board science.

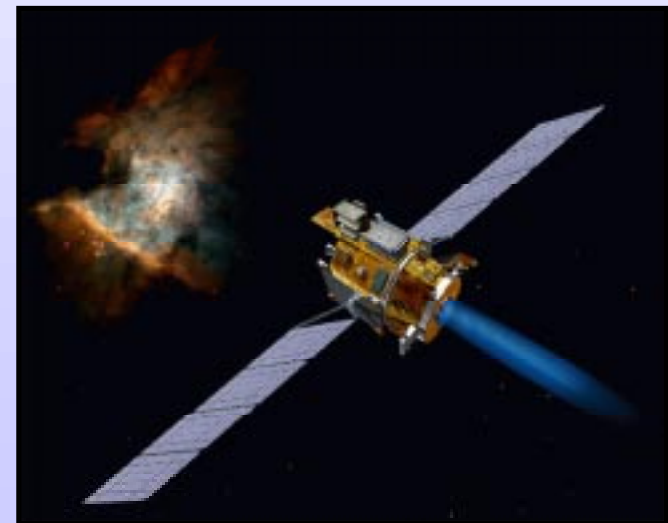
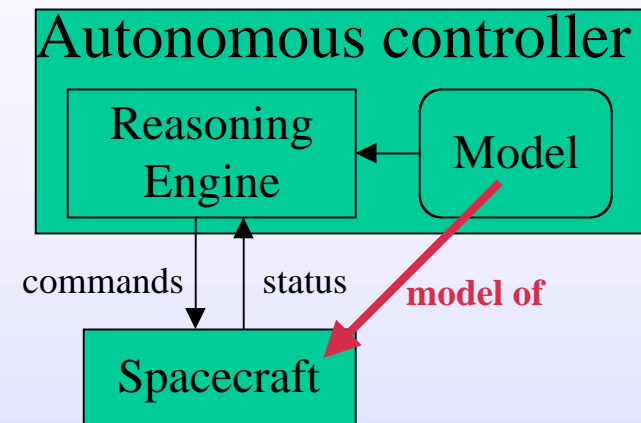


Model-Based Autonomy

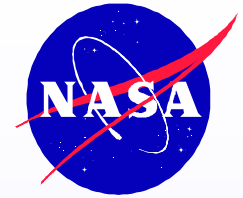


- Based on AI technology
- General reasoning engine + application-specific model
- Use model to respond to unanticipated situations
- Example: Remote Agent
 - Model-based planner/scheduler
 - AI-based executive
 - Model-based fault recovery

First run on DS-1:
May 17, 1999



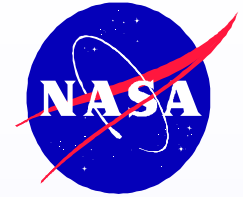
The Challenge



V&V of autonomous systems ?

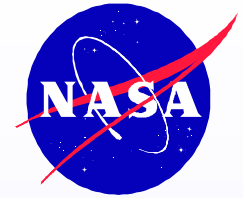
- Critical for NASA to keep risk low.
 - Huge state space and branching factor:
 - complex algorithms and data structures
 - internal decisions (no open control loop)
 - agent-based, knowledge-based, adaptive
- => Conventional testing methods yield a very poor coverage.

Model checking

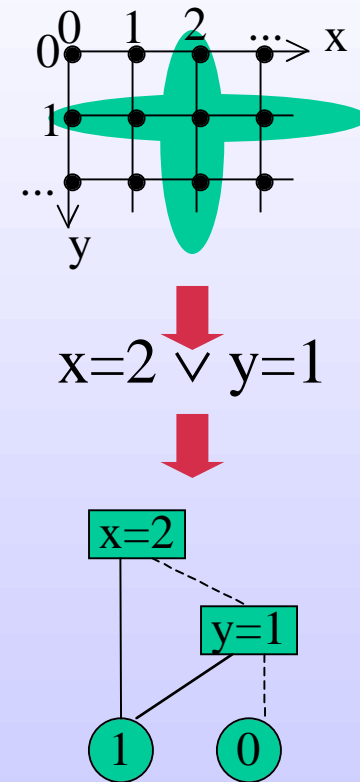


- Checks whether **S** satisfies **P**, where:
 - S** = **model of the system**, as a finite-state machine
 - P** = **property to verify**, in temporal logic
- By **exhaustive** exploration
 - + Full coverage (incl. non-determinism)
 - Limited by state space explosion
- At early stage => less costly
- Widely used in hardware, coming in software
- e.g. **Spin** (Bell Labs), **Murphi** (Stanford)

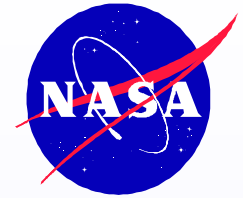
Symbolic Model Checking



- Manipulates **sets of states**,
 Represented as **boolean formulas**,
 Encoded as **binary decision diagrams**.
- Can handle large state spaces
- BDD computations: good in average but exponential in worst case.
- e.g. **SMV** (Carnegie Mellon)



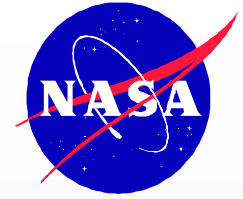
Verification of DS-1 executive



(Lowry, Havelund and Penix)

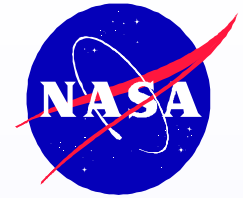
- Smart executive system with AI features
- Modeled (1.5 month) and
Model-checked with Spin (less than a week)
NB: costly modeling phase
=> need automated translation
- **5 concurrency bugs found**, that would not have
been found through traditional testing

Verification of Model-Based Autonomy



- Reasoning Engine
 - Relatively small, generic algorithm => use **prover**
 - Requires **V&V expert** level but done **once** and for all
 - At application level, assume correctness (cf. compiler)
- Model
 - Complex assembly of interacting components
=> **model checking**
 - Should require no V&V expert => **automated translation**
Not too hard because models are abstract
- Reasoning Engine + Model ???

The Planner/Scheduler

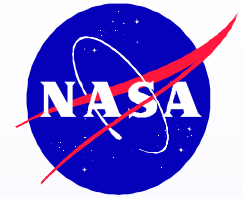


- High-level mission planning in DS-1, model-based.
- Produces a plan for achieving a given high-level goal (e.g. take snapshot of asteroid)
- Models = declarations of components (OO) + temporal constraints on values of variables

Example:

```
((Robot.Task=Fix) starts_before (10 20)  
 (Hole.Status = Fixed))
```

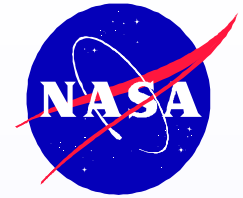
Verification of Planner/Scheduler models



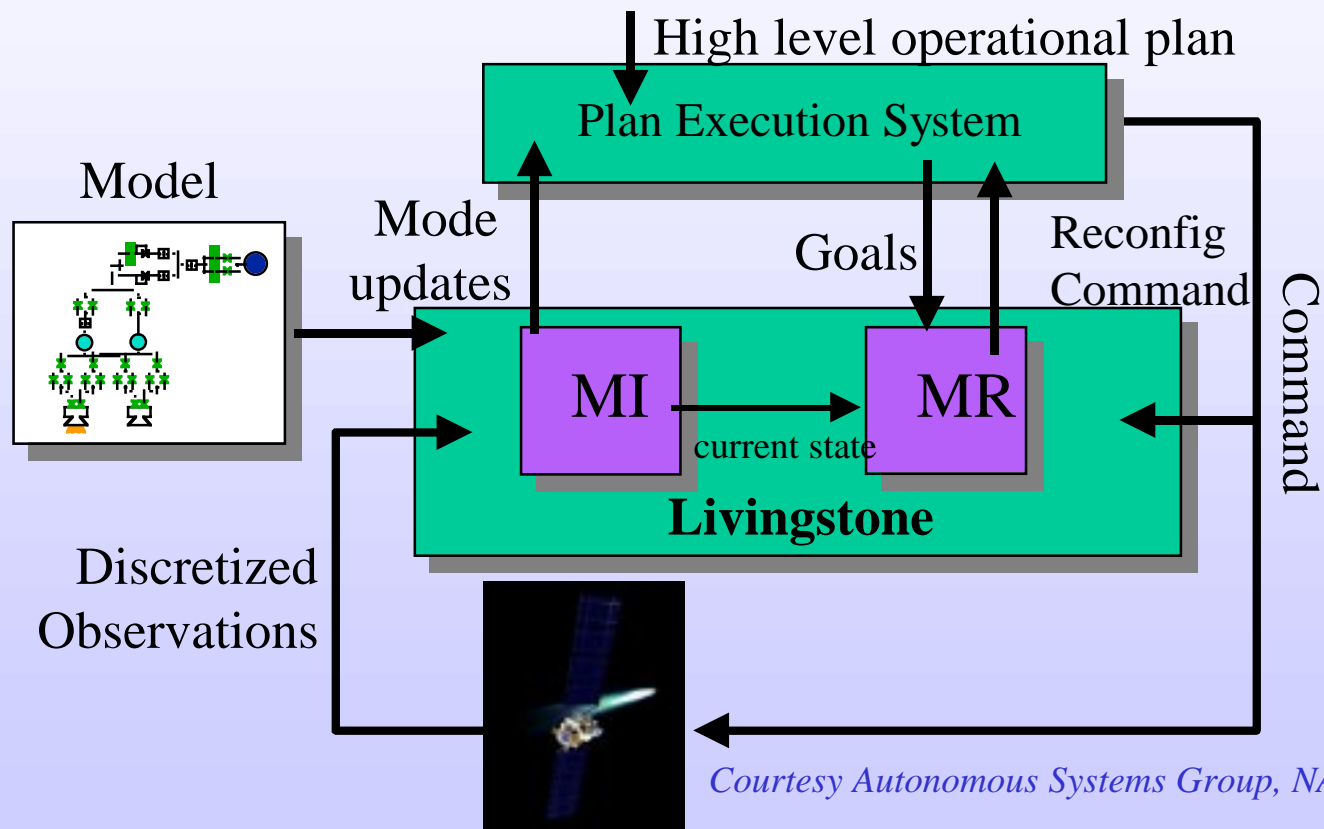
(Penix, Pecheur and Havelund)

- Compare 3 model checkers: Spin, Murphi, SMV
- Small sample model
- Translation by hand but systematic
=> can be automated
- General translation rules for a subset of the modeling language – Full language is for further study (non-local constraints, quantitative time)
- SMV gives easier translation and faster verification ($\approx 0.05s$ vs. $\approx 30s$ for Spin or Murphi)

The Livingstone MIR

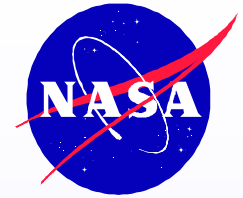


Remote Agent's model-based fault recovery sub-system

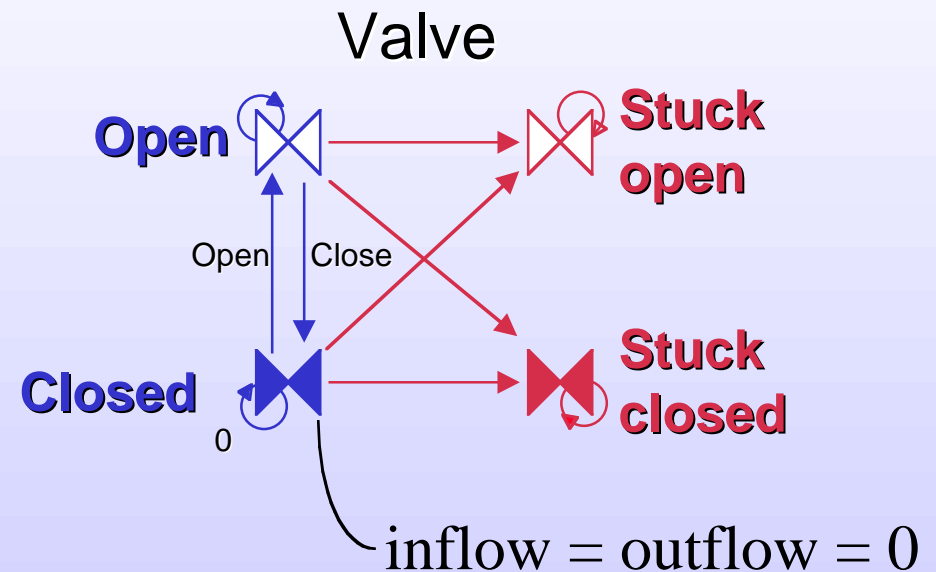


Courtesy Autonomous Systems Group, NASA Ames

Livingstone Models

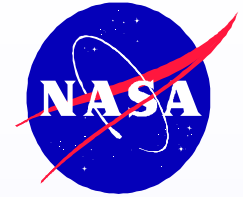


- Models = concurrent transition systems
- qualitative values => finite state
- nominal/fault modes



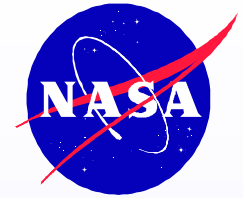
Courtesy Autonomous Systems Group, NASA Ames

From Livingstone to SMV



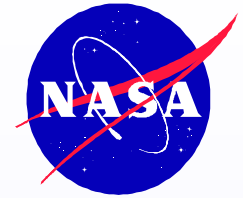
- Translate Livingstone models to SMV models
similar languages => translation is easy
- Add property specifications
 - In temporal logic (CTL)
 - Using application-level extensions
- Initial work from CMU (Reid Simmons)
- Application: ISPP autonomous controller (KSC)
- Improvements in progress:
 - Correctness (=> formalize Livingstone)
 - Ease of use (more application-level extensions)

Verification of Model-Based Systems



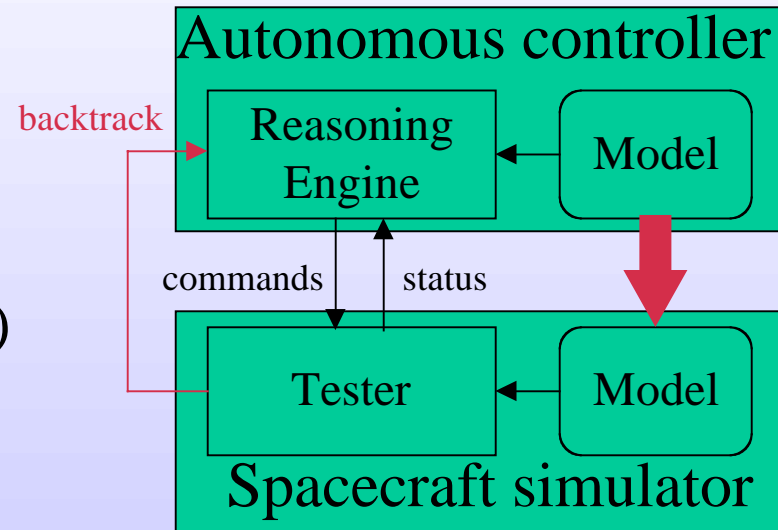
- Model-based **system** = engine + model
- correct engine + correct plan \neq good system !
e.g. can fail to properly recognize a fault
- Model check? Very hard!
Need (abstract) model of reasoning engine
 \Rightarrow complex, error-prone, huge state space
- Model-driven white-box testing?

Model-driven Testing

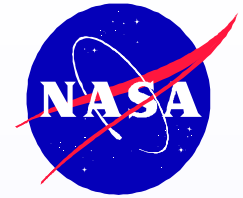


- Testing the real system
=> no abstraction/modeling
- Tester uses (non-symbolic) model-checking approach
=> exhaustive exploration of the model (not the system)
- If possible, backtrack
=> avoid full reset before each test sequence.

To be explored...



Conclusions



- Autonomy needs advanced V&V techniques
- Model checking for autonomous systems based on automated reasoning over discrete models (need to scale up)
- Translators to bridge the gap between design and V&V
- System-level V&V => model-driven testing?
- For further study:
 - Continuous models (real-time, hybrid, neural nets)
New mathematics required
 - Learning/adaptive systems *after* training
 - Learning/adaptive systems *including* training capabilities