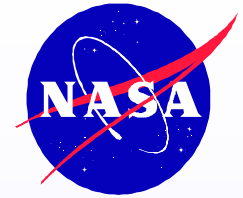


Formal Verification of Autonomous Systems

Charles Pecheur

RIACS / ASE Group, NASA Ames

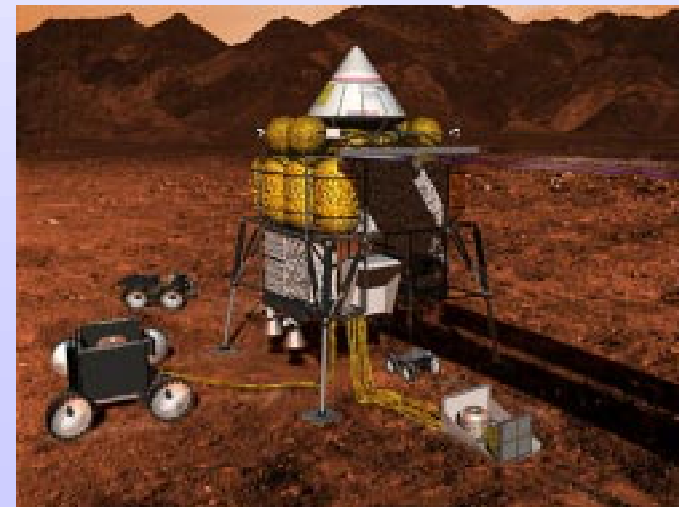
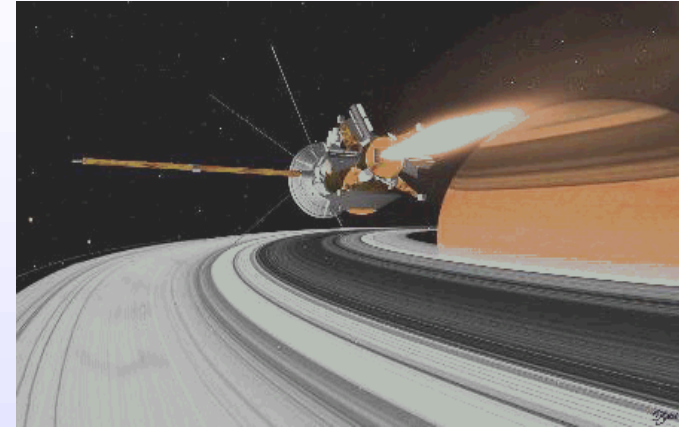
Autonomous Systems



Autonomous space explorers

"Faster, better, cheaper"

- Reduced human supervision
=> reduced cost
- Local reactions
=> no com delays/blackouts
- From self-diagnosis
to on-board science.



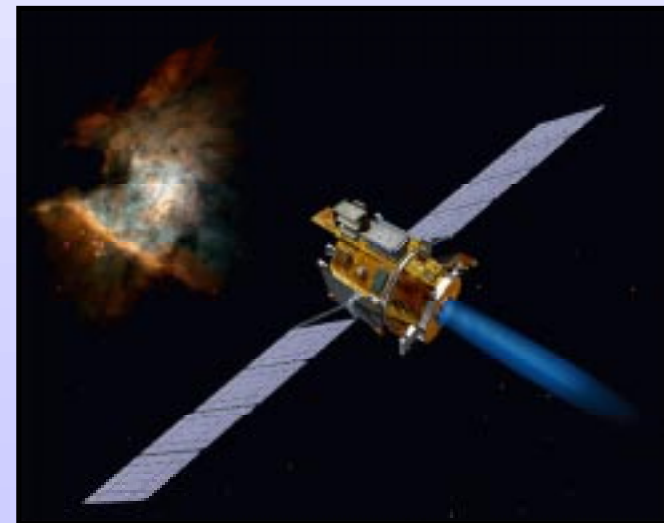
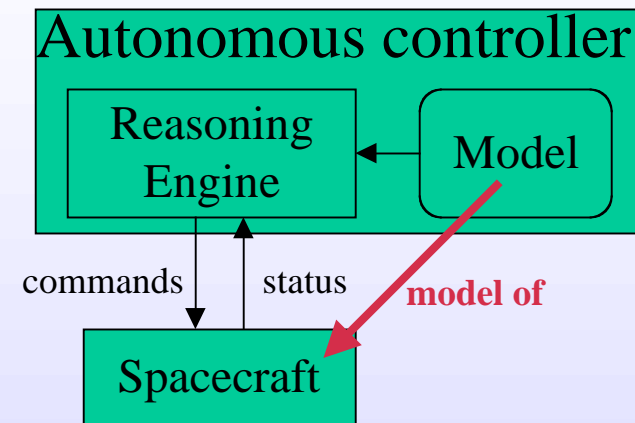
Model-Based Autonomy

- Based on AI technology
- General **reasoning engine** + application-specific **model**
- Use model to respond to unanticipated situations
- Example: Remote Agent
 - Model-based planner/scheduler
 - AI-based executive
 - Model-based fault recovery

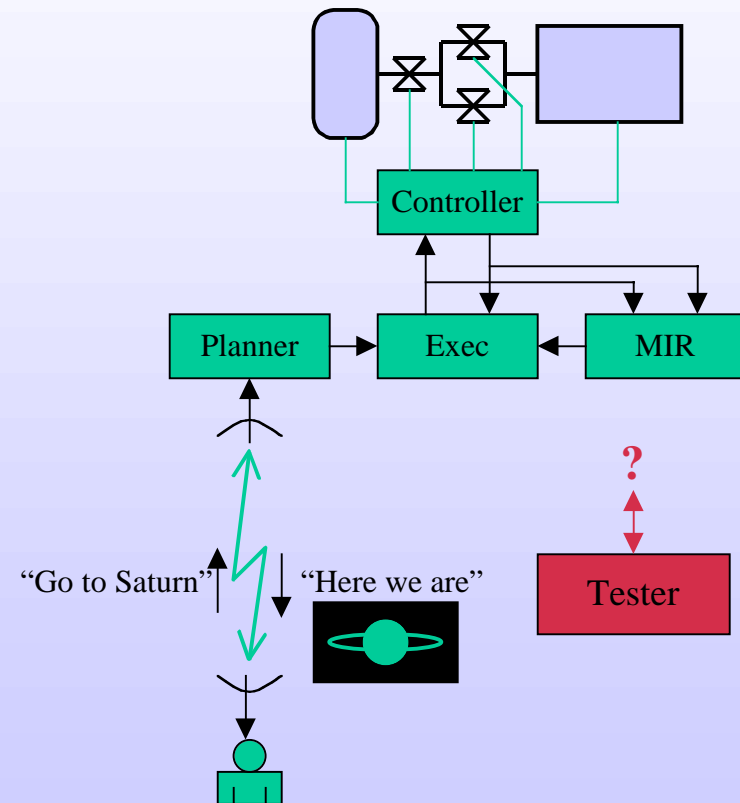
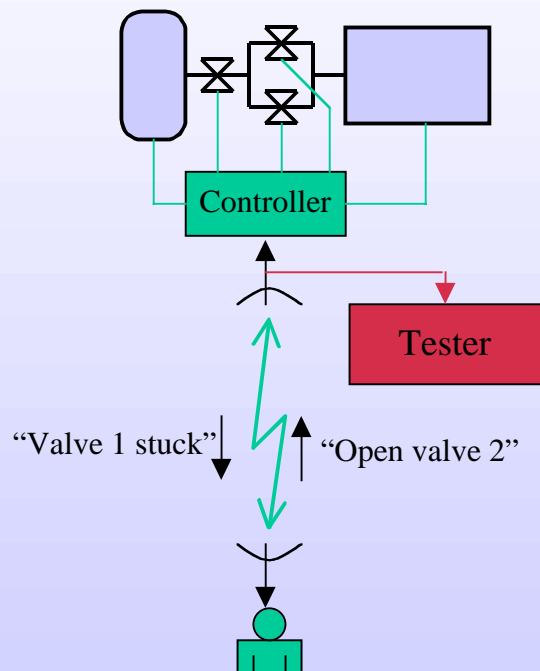
First run on Deep Space One:

May 17, 1999

(1st A.I. program in space!)



Controlled vs. Autonomous



The Challenge

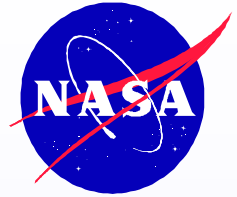
V&V of autonomous systems ?

- Critical for NASA to keep risk low.
 - Huge state space and branching factor:
 - complex algorithms and data structures
 - internal decisions (no open control loop)
 - agent-based, knowledge-based, adaptive
- => Conventional testing methods yield a very poor coverage.

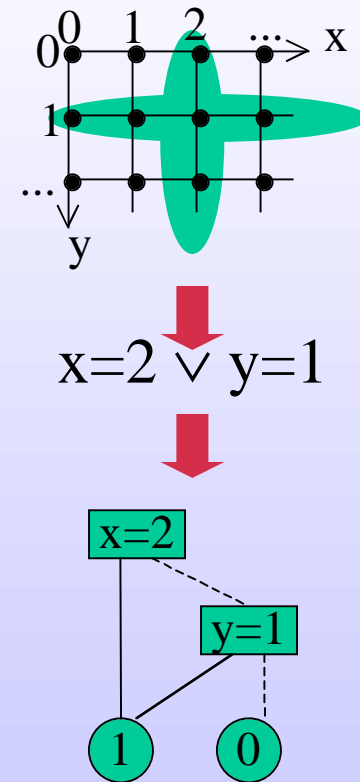
Model Checking

- Checks whether **S** satisfies **P**, where:
 - S** = model of the system, as a finite-state machine
 - P** = property to verify, in temporal logic
- By **exhaustive** exploration
 - + Full coverage (incl. non-determinism)
 - Limited by state space explosion
- At early stage => less costly
- Widely used in hardware, coming in software
- e.g. **Spin** (Bell Labs), **Murphi** (Stanford)

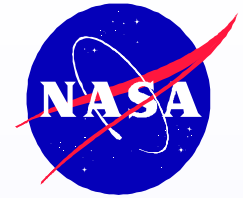
Symbolic Model Checking



- Manipulates **sets of states**,
Represented as **boolean formulas**,
Encoded as **binary decision diagrams**.
- Can handle larger state spaces (10^{50} and up).
- BDD computations:
 - Good in average but exponential in worst case.
 - Computation time depends on BDD size
 \Rightarrow number of variables, complexity of formulas,
but not directly state space size.
- Example: **SMV** (Carnegie Mellon U.)



Verification of Remote Agent Executive



(Lowry, Havelund and Penix)

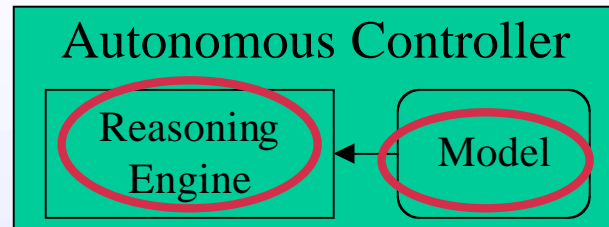
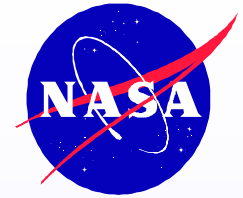
- Smart executive system with AI features
- Modeled (1.5 month) and
Model-checked with Spin (less than a week)
NB: costly modeling phase
=> need automated translation
- **5 concurrency bugs found**, that would not have
been found through traditional testing

Hunting the RAX Bug

(Lowry, White, Havelund, Pecheur, ...)

- 18 May 1999: Remote Agent Experiment suspended following a deadlock in RA EXEC
=> **Q: could V&V have found it?**
- Over-the-week-end "clean room" experiment:
 - Front-end group selects suspect sections of the code
 - Back-end group does modeling (in Java) and verification (using Java Path Finder + Spin)
- => **A: V&V found it... two years ago!**
Same as one of the 5 concurrency bugs found before
- **Morale: Testing not enough for concurrency bugs!**

Verification of Model-Based Autonomy



Reasoning Engine

- Relatively small, generic algorithm => use **prover**
- Requires **V&V expert** level but **once and for all**
- At application level, assume correctness (cf. compiler)

Model

- Complex assembly of interacting components => **model checking**
- Avoid V&V experts => **automated translation**
Not too hard because models are abstract

Reasoning Engine + Model ???

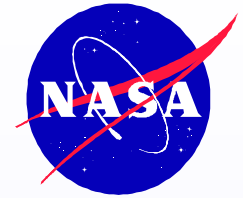
The Planner/Scheduler

- High-level mission planning in DS-1, model-based.
- Produces a plan for achieving a given high-level goal (e.g. take snapshot of asteroid)
- Models = declarations of components (OO) + temporal constraints on values of variables

Example:

```
((Robot.Task=Fix) starts_before (10 20)  
 (Hole.Status = Fixed))
```

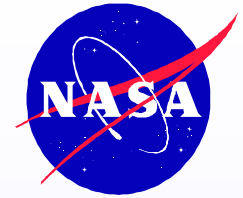
Verification of Planner/Scheduler models



(Penix, Pecheur and Havelund)

- Compare 3 model checkers: Spin, Murphi, SMV
- Small sample model
- Translation by hand but systematic
=> can be automated
- General translation rules for a subset of the modeling language – Full language is for further study (non-local constraints, quantitative time)
- SMV gives easier translation and faster verification ($\approx 0.05s$ vs. $\approx 30s$ for Spin or Murphi)

Planner/Scheduler Models (encore)

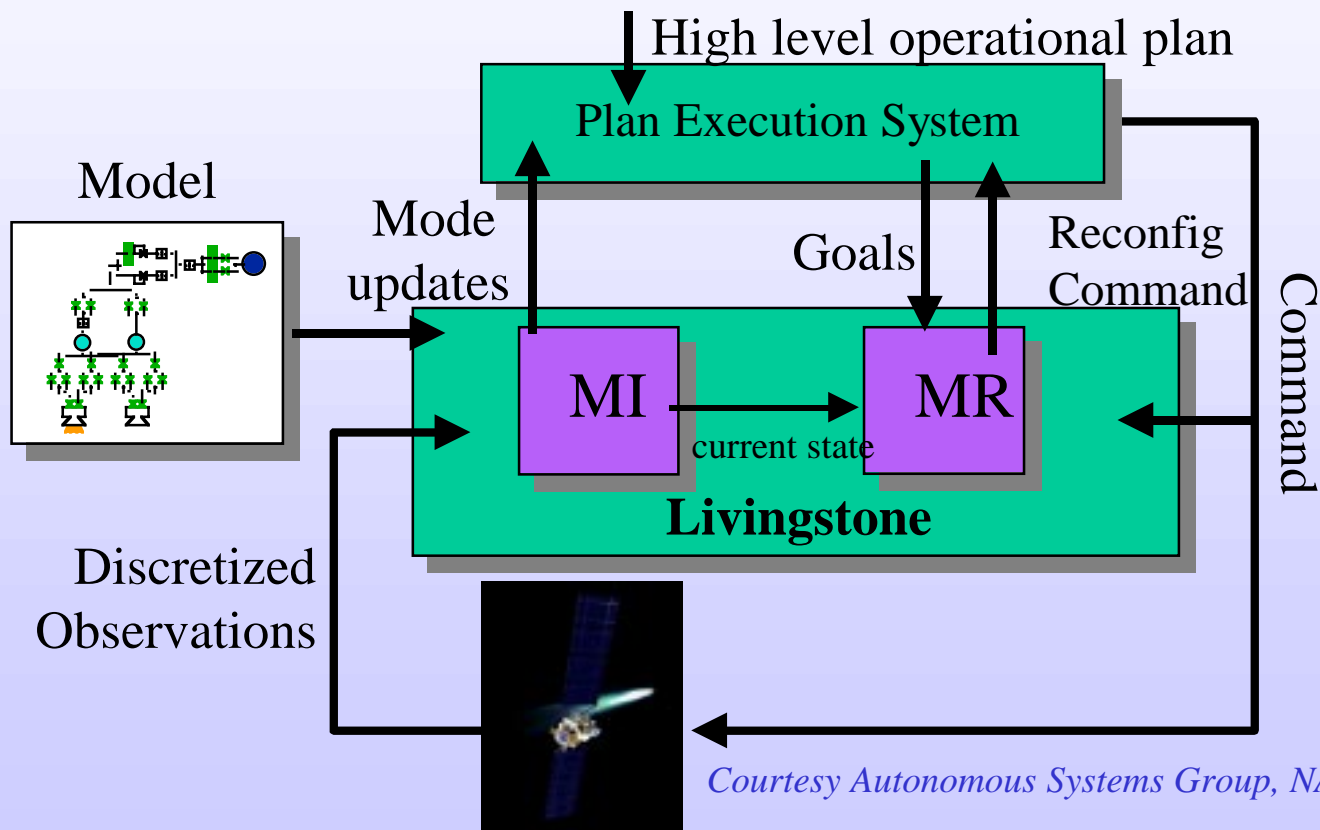


(Khatib) anc. CS Faculty at FIT!

- Need for handling quantitative specifications:
distances, durations, ...
- Timed automata : UPPAAL (UPPsala & AALborg)
Modeling, simulation and verification of **real-time** systems.
- Translate planner models in UPPAAL
- Questions:
 - Consistency
 - Bounded Liveness
 - Mutexes

The Livingstone MIR

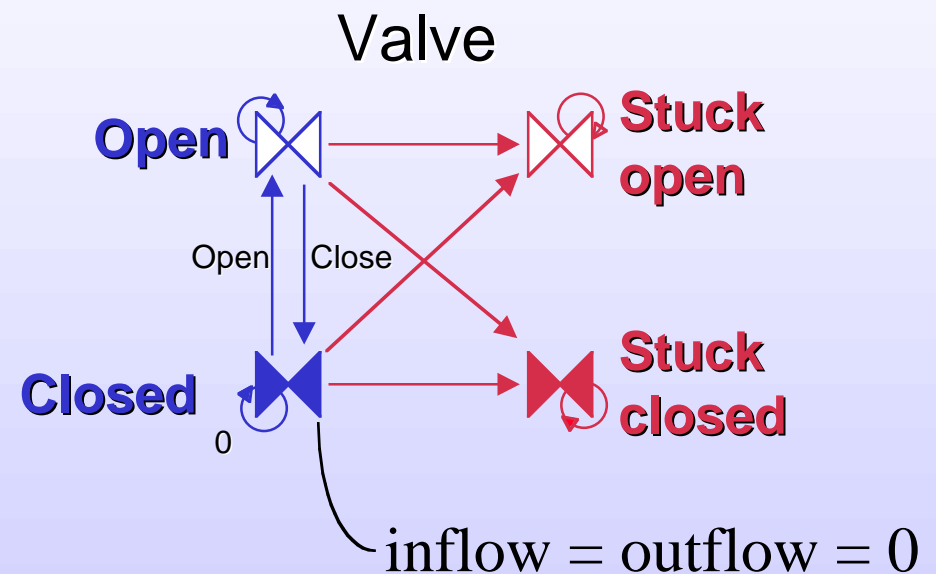
Remote Agent's model-based fault recovery sub-system



Courtesy Autonomous Systems Group, NASA Ames

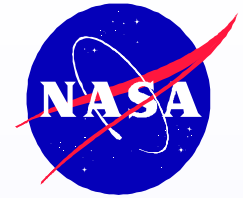
Livingstone Models

- Models = concurrent transition systems
- qualitative values
=> finite state
- nominal/fault modes



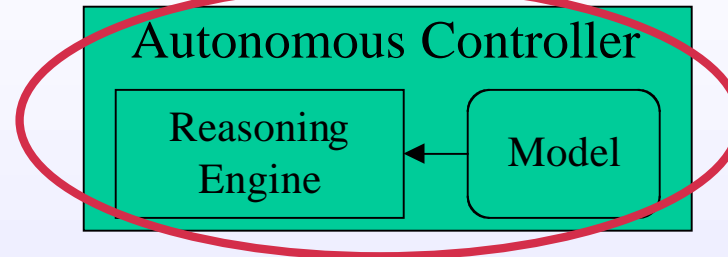
Courtesy Autonomous Systems Group, NASA Ames

From Livingstone to SMV



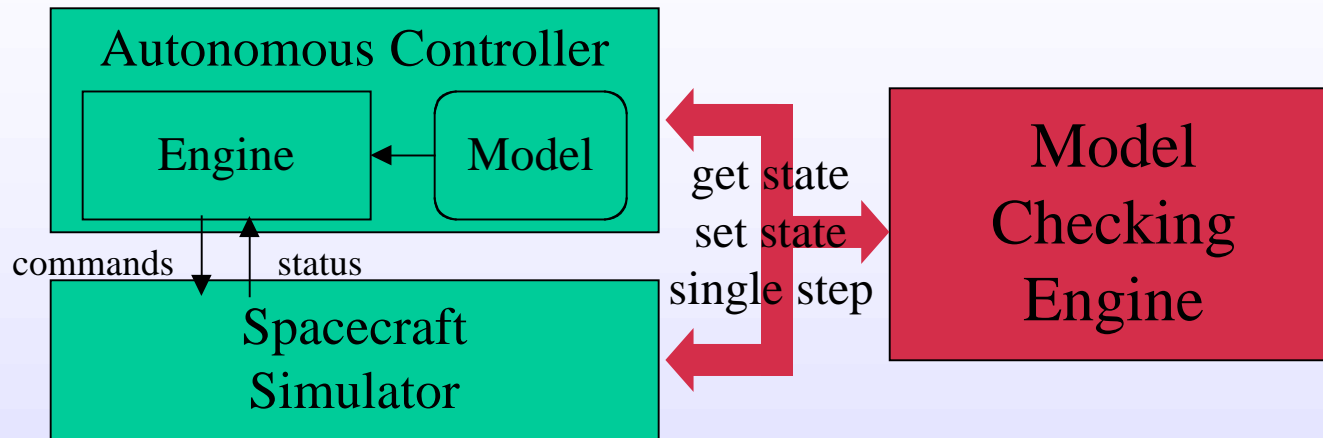
- Translate Livingstone models to SMV models
similar languages \Rightarrow translation is easy
- Add property specifications
 - In temporal logic (CTL)
 - Using application-level extensions
- Initial work from CMU (Reid Simmons)
- Application: ISPP autonomous controller (KSC)
- Improvements in progress:
 - Correctness (\Rightarrow formalize Livingstone)
 - Ease of use (more application-level extensions)

Verification of Model-Based Systems



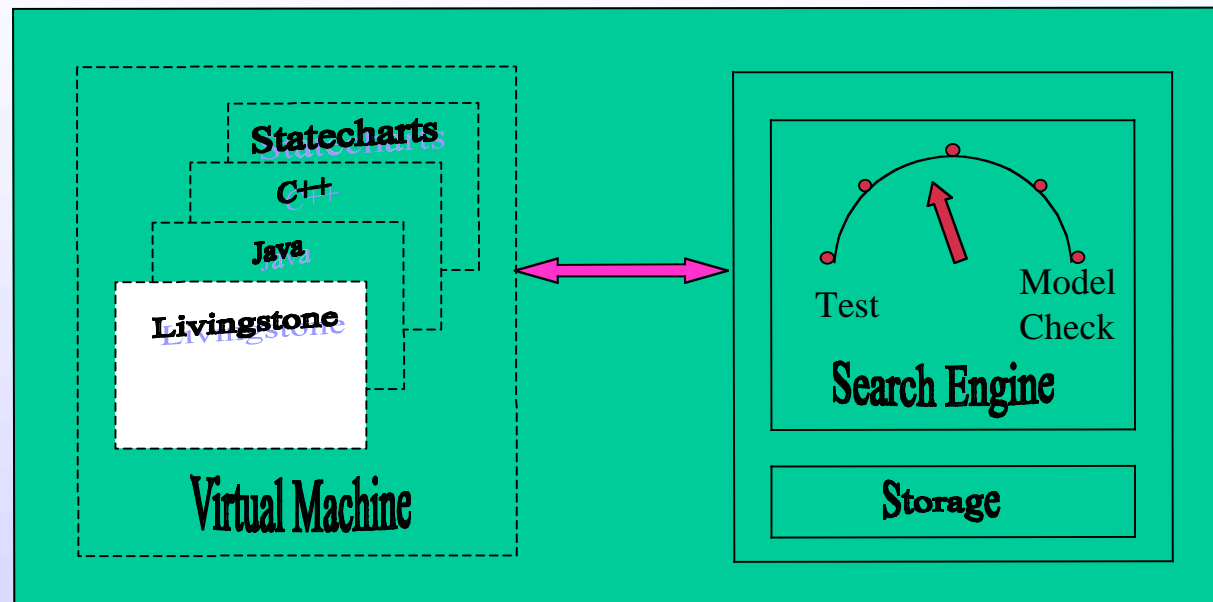
- Model-based **system** = engine + model
- correct engine + correct plan \neq good system !
e.g. can fail to properly recognize a fault
- Model check? Very hard!
Need (abstract) model of reasoning engine + model
 \Rightarrow complex, error-prone, huge state space

Analytic Testing



- Testing the real system => accuracy.
- Model-checking approach => exhaustive exploration.
- Restricted scenarios in simulator (otherwise too big).
- Completes, not supersedes, Model V&V (later stage).

Generic Verification Environment



- Principle: uncouple V&V subject from V&V algo.
- Common denominator of several projects in ASE.
- Hooks already present in Livingstone.

Conclusions

- Autonomy needs advanced V&V techniques
- Model checking for autonomous systems based on automated reasoning over discrete models (need to scale up)
- Translators to bridge the gap between design and V&V
- System-level V&V \Rightarrow Analytic testing
- For further study:
 - Continuous models (real-time, hybrid, neural nets)
New mathematics required
 - Learning/adaptive systems *after* training
 - Learning/adaptive systems *including* training capabilities